

1 UNITED STATES DISTRICT COURT
2 SOUTHERN DISTRICT OF NEW YORK

3 MALIK L. BROWN,

4 Plaintiff,

5 -against-

21 Civ. 214
Decision

6 STEPHEN URBANSKI, et al.,

7 Defendants.

8 -----x
9
10 United States Courthouse
White Plains, New York

11 March 15, 2022

12
13 THE HONORABLE CATHY SEIBEL,
14 District Judge

15
16 MALIK L. BROWN
Pro Se

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18 MARIA B. HARTOFILIS
19 Attorney for Defendants
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1 THE DEPUTY CLERK: Judge, this matter is Brown v.
2 Urbanski. We have on the line the plaintiff, Mr. Malik Brown,
3 we have on representing defendants from New York State Office
4 of the Attorney General, Ms. Maria Barous Hartofilis. Our
5 court reporter, Angela, is on, and Andy is on.

6 THE COURT: All right, I'm prepared to rule on the
7 motion to dismiss. Does anybody have anything to add that's
8 not covered by the papers?

9 MS. HARTOFILIS: No, your Honor. Good morning.

10 MR. BROWN: I feel like -- good morning, by the way.

11 THE COURT: Same to you.

12 MR. BROWN: I feel like I have stated -- I feel like
13 I stated my case in the best possible way, and I'm feeling
14 really confident about your decision.

15 THE COURT: All right, well, let me get to it. It's
16 going to take a while because there are a lot of different
17 claims and different defendants, so you may want to take notes.

18 Defendants have moved to dismiss the complaint. For
19 purposes of the motion, I accept as true the facts, although
20 not the conclusions, set forth in the original complaint, which
21 is ECF number 2, the amended complaint, which is ECF number 30,
22 which I'm going to call the AC, plaintiffs memorandum in
23 opposition to the motion, which is ECF number 37, which I'm
24 going to call plaintiff's opposition, and ECF number 39 which
25 is plaintiff's sur-reply. *See Voltaire v. Westchester County,*

1 2016 WL 4540837 at *3 (S.D.N.Y. August 29, 2016), which says a
2 court is allowed to consider factual allegations from a *pro se*
3 plaintiff's preceding complaints in order to supplement those
4 in amended complaints, *Washington v. Westchester*, 2015 WL
5 408941 at *1, n.1 (S.D.N.Y. January 30, 2015), which likewise
6 says a court can consider facts from a *pro se* plaintiff's
7 original complaint, even if they haven't been repeated in the
8 amended complaint. And *Braxton v. Nichols*, 2010 WL 1010001 at
9 *1 (S.D.N.Y. March 18, 2010) which says allegations in a *pro se*
10 plaintiff's memorandum of law where they're consistent with
11 those in the complaint can also be considered on a motion to
12 dismiss.

13 My chambers will send Mr. Brown copies of any
14 unpublished decisions I cite today.

15 I do note that under my individual rules of practice
16 sur-replies are not permitted without prior permission.
17 Plaintiff's sur-reply was filed without permission, but I will
18 nevertheless consider the allegations in the sur-reply in light
19 of the special solicitude with which *pro se* submissions are to
20 be treated. It would be a waste of time to disregard those
21 allegations, because if I were to do so, I would then have to
22 let plaintiff amend and we'd be right back where we started.
23 But plaintiff is now on notice and going forward I will not
24 consider sur-replies or other unauthorized submissions. See
25 *Nichols* and also *Guity v. Uniondale*, 2017 WL 9485647 at *7

1 (E.D.N.Y. February 23, 2017) *report and recommendation adopted*
2 2017 WL 1233846 (E.D.N.Y. March 31, 2017).

3 I likewise, in addition to considering these
4 submissions, consider any documents attached to them. See
5 *Kleinman v. Elan*, 706 F.3d 145, 152. So based on all those
6 sources, the facts, taking plaintiff's allegations as true, are
7 as follows.

8 Plaintiff is incarcerated in the custody of New York
9 State Department of Corrections and Community Supervision, or
10 DOCCS. During the events relevant to the lawsuit, he was held
11 a Fishkill Correctional Facility in Beacon.

12 On June 14, 2020, while in the keep-lock recreation
13 yard at Fishkill, another inmate assaulted plaintiff causing a
14 number of injuries. I note the plaintiff doesn't state his
15 attacker's name, and some of the records he submitted redact
16 this person's name. Plaintiff submitted a document with his
17 opposition papers that appear to be someone's notes on
18 surveillance footage showing the assault. That's in
19 plaintiff's opposition at page 3, and plaintiff says these
20 notes were obtained by PLS. He doesn't explain what that is,
21 but it may be an acronym for prison legal services. Those
22 notes refer to the inmate who assaulted plaintiff as
23 Mr. Collins, and I will use that name for the assailant
24 throughout this opinion.

25 At the time of the assault on June 14, 2020,

1 plaintiff was serving a disciplinary sentence in the Special
2 Housing Unit or SHU. He alleges that while in the SHU he was
3 denied an hour of unrestrained recreation time, then he says he
4 was only given outdoor recreation time while in mechanical
5 restraints, which he states occurred in an overcrowded
6 keep-lock yard in the baking sun with limited bathroom and
7 water breaks. Pages 6 and 7 of the AC. And those are the
8 numbers generated by the court's electronic case filing system.

9 Plaintiff characterizes Collins as a "known violent
10 inmate" with an "extreme history of violence" at Fishkill.
11 That's the complaint at 8 and the AC at 7. He alleges that
12 Collins was in the box at Fishkill due to an assault on a
13 corrections officer. That's in his opposition at 1. He also
14 alleges that he and Collins have had past issues, complaint at
15 6, but does not explain the nature of these issues, whether he
16 ever reported them to anyone or the specifics of any past
17 violent incidents involving Collins beyond the detail that one
18 incident allegedly involved an attack on the CO.

19 Plaintiff alleges that on the date of the attack, he
20 and Collins, along with other inmates, were brought to the yard
21 in mechanical restraints, including waist chains. Complaint at
22 6. Collins' waist chains were not properly secured and he was
23 able to slip out of them and roam the yard for about ten
24 minutes. Complaint at 6 to 8, plaintiff's opposition at 3.
25 After which he assaulted plaintiff. Amended complaint at 6.

1 Collins struck plaintiff at least 13 times with the lock and
2 chain of his unsecured waist restraint. Complaint at 6. AC at
3 6. Plaintiff's opposition at 3.

4 The surveillance video notes incorporated into
5 plaintiff's opposition memorandum allege that the surveillance
6 footage shows the following: At around 9:18 a.m. Collins walks
7 away from a crowd of other on inmates and then "appears to be
8 straightening his arms in a downward motion" and "bends over
9 and appears to be doing something with his arms," after which
10 he "stands up, turns around, and with his arms relaxed, walks
11 back" to where he had been standing. No officers can be seen
12 observing these actions on the video. Ten minutes later, at
13 around 9:28 a.m., the video allegedly shows Collins "bending
14 over and fiddling with something in front of him" and then
15 "pulling something up with his right hand." In the following
16 minute there was a short verbal exchange between the plaintiff
17 and Collins, and Collins then begins swinging the chain at
18 plaintiff continuing to do so after plaintiff falls to the
19 ground. The attack is ongoing about 33 seconds until
20 corrections officers "pull Collins off of plaintiff." That's
21 plaintiff's opposition at 3.

22 Five of the defendants, all DOCCS employees, were
23 present in the yard for all or part of the attack; Sergeant
24 Deacon and Correction Officers Yunes, DelBianco, Walsh and
25 Minard. AC at 6-7 and 12-23. Plaintiff alleges that Yunes

1 stood close by during the assault and did not intervene. AC at
2 6. He alleges that Deacon and other correction officers
3 watched his assault from beginning to end. In addition, he
4 alleges that Deacon ordered DelBianco to use pepper spray and
5 DelBianco came to plaintiff and applied pepper spray after
6 Collins had already been subdued, which exposed plaintiff's
7 open wounds to the pepper spray chemical. AC at 6 to 7 and
8 plaintiff's sur-reply at 2. He alleges that Minard and Walsh,
9 along with Deacon, had foreseen the assault. AC at 7.

10 After the assault, each of the above-named officers,
11 as well as the non-party correction officer, wrote a
12 use-of-force report, redacted copies of which are attached to
13 the amended complaint at pages 12-23. The use-of-force reports
14 are redacted in an apparent attempt to avoid disclosing
15 plaintiff's attacker's name. In addition, other portions of
16 the use-of-force report, including a section for the reporter
17 to set forth any actions taken following the use of force, are
18 redacted. It's not clear who made the redactions and they are
19 not otherwise explained in the parties' submissions, but some
20 of them appear to relate to the attacker rather than to
21 plaintiff.

22 The time of the incident stated in the use-of-force
23 report contradicts the times reported on the surveillance video
24 notes. Each report states the assault happened at about
25 10:05 a.m. Deacon, Minard, and DelBianco also reported that

1 Collins had not yet been subdued when DelBianco used pepper
2 spray on both Collins and plaintiff. That's at pages 15, 17,
3 and 19. The other three officers' reports do not mention the
4 use of pepper spray. While Deacon's report states that
5 DelBianco applied the pepper spray towards Collins' face,
6 DelBianco's report states that the spray "had the desired
7 effect on both inmates."

8 A partially redacted copy of the DOCCS unusual
9 incident report regarding this incident is also attached to the
10 AC at pages 27-29. As with the use-of-force reports, the
11 redactions in the unusual incident report are not explained.
12 Like the use-of-force reports, the unusual incident report
13 reflects that the incident occurred at 10:05 a.m. and Collins
14 had not yet been subdued when DelBianco used pepper spray. The
15 unusual incident report suggests that the pepper spray was used
16 on plaintiff stating that the pepper spray "had the desired
17 effect on inmate Brown." That's the amended complaint at page
18 28.

19 Plaintiff alleges he was taken to the medical unit
20 more than 30 minutes after the attack ceased, and once there,
21 had to wait an additional 20 minutes to be examined. AC at 8,
22 opposition at 3. Plaintiff was examined by defendant Cebon, a
23 nurse at Fishkill. AC at 5. He alleges he was given
24 inadequate care and then sent to the hospital only to be
25 returned to the Fishkill and sent on the hospital later that

1 night for a "unseen, untreated head wound." AC at 9. See
2 pages 24-25. Plaintiff claims that Nurse Cebron then falsified
3 her report to corroborate her account of the officials who
4 responded to the assault. See pages 8-9. Plaintiff initiated
5 this action on January 8, 2021. On May 25, defendants filed a
6 pre-motion letter in anticipation of their motion to dismiss.
7 On June 29 we had a conference at which I granted plaintiff
8 leave to amend the complaint. Plaintiff filed the amended
9 complaint on July 16 and this motion followed.

10 The legal standard for a motion to dismiss for
11 failure to state a claim is well-known, it comes from *Ashcroft*
12 *v. Iqbal*, 556 U.S. 662, 678 and *Bell Atlantic v. Twombly*, 550
13 U.S. 544. In short, the plaintiff must provide sufficient
14 factual content to state a claim that's plausible on its face.

15 When defendant moves to dismiss under Federal Rule of
16 Civil Procedure 12(b)(1) for lack of subject matter
17 jurisdiction, the standard is different. A court may dismiss
18 for lack of subject matter jurisdiction if it lacks the
19 statutory or constitutional power to adjudicate it. In fact,
20 it must. *Cortlandt Street Recovery Corp. v. Hellas*, 790 F.3d
21 411, 416-17. When jurisdiction is challenged, the party
22 asserting jurisdiction bears the burden of showing by a
23 preponderance of the evidence that it exists, and the court can
24 examine evidence outside of the pleadings to make that
25 determination. *Arar v. Ashcroft*, 532 F.3d 157, 168.

1 Complaints by *pro se* plaintiffs are to be examined
2 with special solicitude, *Tracy v. Freshwater*, 623 F.3d 90, 102,
3 interpreted to raise the strongest arguments that they suggest,
4 *Burgos v. Hopkins*, 14 F.3d 787, 790, and held to less stringent
5 standards than formal pleadings drafted by lawyers. *Hughes v.*
6 *Rowe* 449 U.S. 5, 9. Nevertheless, "threadbare recitals of the
7 elements of a cause of action supported by mere conclusory
8 statements do not suffice," and district courts "cannot invent
9 factual allegations" that the plaintiff has not pleaded.
10 *Chavis v. Chappius*, 618 F.3d 162, 170.

11 The other legal standard that's relevant here relates
12 to qualified immunity which shields a government official from
13 liability for civil damages if either the official's conduct
14 does not violate clearly established statutory or
15 constitutional rights of which a reasonable person would have
16 known, *Kisela v. Hughes*, 138 S.Ct. 1148, 1152 (*per curiam*); or
17 if it was objectively reasonable for the official to believe
18 his actions or her actions were lawful at the time. *Simpson v.*
19 *New York* 793 F.3d 259, 268. The objective reasonable test is
20 met and the defendant is entitled to immunity if officers of
21 reasonable competence could disagree on whether the defendant's
22 actions were legal. *Thomas v. Roach*, 165 F.3d 137, 143. Under
23 the objective element, the Court has to look beyond generalized
24 constitutional protection, such as the right to be free of
25 unreasonable searches and seizures, and determine whether the

1 law is clearly established in a more particularized sense,
2 given the specific factual situation with which the officers is
3 confronted. *Kerman v. City of New York*, 261 F.3d 229, 236.

4 Qualified immunity entitles public officials to
5 immunity from suit rather than a mere defense to liability and
6 is effectively lost if a case is erroneously permitted to go to
7 trial. *Mitchell v. Forsyth*, 472 U.S. 511, 526. Thus, the
8 Supreme Court repeatedly has stressed the importance of
9 resolving immunity questions at the earliest possible stage.
10 *Pearson v. Callahan*, 555 U.S. 223, 232. Indeed, the point of
11 qualified immunity is to ensure that insubstantial claims
12 against government officials will be resolved prior to
13 discovery. *Pearson* at 231. But qualified immunity motions
14 often fail at the motion to dismiss stage because the facts in
15 the plaintiff's complaint do not suffice to make out the
16 defense. *Ruffin v. Travers-Marsh*, 2018 WL 3368726 at *7
17 (S.D.N.Y. July 10, 2018) (collecting cases).

18 I'm going to start with the official capacity claims
19 plaintiff asserts in AC at 5 that he's bringing his claims
20 against the defendants in their official and individual
21 capacities. But DOCCS officials enjoy Eleventh Amendment
22 immunity from suit under Section 1983 for damages in their
23 official capacities. *Gunn v. Bentivenga*, 2020 WL 2571015 at *3
24 (S.D.N.Y. 2020); see *Ortiz v. Russo*, 2015 WL 1427247 at *5
25 (S.D.N.Y. March 27, 2015). Suing an employee of the state in

1 the employee's official capacity is the same as suing the
2 state, and that's not allowed under the Eleventh Amendment. So
3 the claims against the defendants in their officials capacities
4 are dismissed for lack of subject matter jurisdiction, but the
5 claims against them in their individual capacities remain, and
6 so I turn to the failure to protect claims against Yunes,
7 Deacon, DelBianco, Minard and Walsh, all of whom responded to
8 the attack.

9 "The Eighth Amendment requires prison officials to
10 take reasonable measures to guarantee the safety of inmates in
11 their custody." *Hayes v. New York City*, 84 F.3d 614, 620,
12 citing *Farmer v. Brennan*, 511 U.S. 825, 833. To prevail on a
13 claim that officials have failed to protect an inmate from
14 harm, a plaintiff has to demonstrate that objectively the
15 conditions of his incarceration posed a substantial risk of
16 serious harm, and subjectively that the defendant acted with
17 deliberate indifference to that risk. *Farmer* at 834, and *Hayes*
18 at 620. "A prison official has sufficient culpable intent if
19 he has knowledge that an inmate faces a substantial risk of
20 serious harm and he disregards that risk by failing to take
21 reasonable measures to abate the harm." *Hayes* at 620. A
22 plaintiff must show that prison officials actually knew of but
23 disregarded an excessive risk to his safety. "The official
24 must both be aware of facts from which the inference could be
25 drawn that a substantial risk of serious harm exists, and he

1 must also draw the inference." *Farmer* at 837; see *Tangreti v.*
2 *Bachmann*, 983 F.3d at 619. Thus, to state the cognizable
3 section 1983 claim, a prisoner must allege actions or omissions
4 sufficient to demonstrate deliberate indifference. Mere
5 negligence will not suffice. *Hayes* at 620.

6 I'm first going to discuss the failure to protect
7 before the attack.

8 A plaintiff can state a failure to protect claim by
9 alleging that he informed a prison official about a specific
10 fear of assault and was then assaulted, but communications
11 about generalized safety concerns or vague concerns of future
12 assault by unknown individuals are insufficient to knowledge
13 that an inmate is subject to a substantial risk of serious
14 harm. *Marshall v. Griffin*, 2020 WL 1244367 at *9 (S.D.N.Y.
15 March 16, 2020). While plaintiff broadly alleges that he had
16 "past issues" with Collins, complaint at 6, he does not specify
17 what those issues were, nor does he allege that he reported
18 them to prison officials, much less if he reported a specific
19 fear of assault that would have put any defendant on notice of
20 a substantial risk to his safety.

21 But alternatively a plaintiff can state a claim based
22 on a failure to protect him against a general risk of harm to
23 all inmates in the facility by alleging that the defendants
24 knew of a history of prior inmate-on-inmate attacks similar to
25 the one suffered by the plaintiff and that the measures they

1 should have taken in response to those prior attacks would have
2 prevented the attack on the plaintiff. *Parris v. DOCCS*, 947
3 F.Supp.2d 354, 363 (S.D.N.Y. 2013); see *Bladon v. Aitchison*,
4 2019 WL 12067370 at *7 (S.D.N.Y. March 14, 2019). This
5 generally requires the plaintiff to establish a "longstanding,
6 pervasive, well-documented history of similar attacks, coupled
7 with circumstances suggesting that defendant official being
8 sued had been exposed to this information." *Stephens v.*
9 *Venettozzi*, 2016 WL 929268 at *21, (S.D.N.Y. February 24,
10 2016), *report and recommendation adopted*, 2016 WL 1047388
11 (S.D.N.Y. March 10, 2016).

12 Plaintiff alleges that his attack was a "known
13 violent inmate," AC at 6, who previously attacked a correction
14 officer and had "an extreme history of violence" in the prison.
15 Opposition at 1. But saying the attacker was generally violent
16 does not suffice. Some prisoners are violent people and may
17 act out in prison, but it is not practical to segregate all
18 such people, and accordingly, the law requires a plaintiff to
19 plausibly allege a history of similar attacks and a way the
20 attack in question could reasonably have been prevented. Here,
21 plaintiff does not describe any prior inmate-on-inmate attacks
22 at all by Collins or anyone else, let alone any similar to the
23 one suffered by him on June 14, 2020. Nor does he provide
24 facts showing that any individual defendant was aware of any
25 such attacks or suggest what measures should have been taken in

1 response. See *Coronado v. Goord*, 2000 WL 1372834 at *6,
2 (S.D.N.Y. September 25, 2000) which said a general allegation
3 of prior violence insufficient to show knowledge of a risk
4 where no details were provided as to the type or level of
5 violence or whether it was similar enough to put the defendants
6 on notice.

7 Nor does plaintiff allege that any defendant knew
8 that Collins had removed his waist chain. Plaintiff alleges
9 that Collins was allow to roam the yard without his waist
10 chain, AC at 6 and 8, but he does not allege that any defendant
11 actually knew that Collins had removed his waist chain. The
12 surveillance video notes that Collins can be seen from behind
13 doing something with his arms and bending over, but those
14 observations do not allege that even if an official was
15 observing Collins it was clear that he was removing his waist
16 chain. Moreover, the video notes say that no COs can be seen
17 from the camera's perspective observing Collins. And while
18 plaintiff alleges vaguely that Minard, Walsh, and Deacon had
19 foreseen the assault, this allegation is entirely conclusory.
20 Plaintiff does not allege that any officer saw Collins remove
21 his waist restraint or noticed that it had been removed before
22 the assault began.

23 In short, while the officers in the yard should have
24 seen that Collins was unrestrained if they had been paying
25 attention, there are no facts suggesting that their failure to

1 pay attention was deliberate. There is no allegation that
2 defendants' apparent failure to recognize the potential harm
3 here, in other words, that Collins had slipped out of his waist
4 chain, was anything other than negligent. As plaintiff himself
5 states in his amended complaint, "For over ten minutes my
6 attacker roamed the KL yard with no waist chain. How do you
7 miss that?" AC at 8. That allegation amounts to an allegation
8 of negligence or dereliction of duty, not of willful and
9 knowing disregard of a specific threat. See *Farmer* at 838
10 where the court said that an official's failure to alleviate a
11 significant risk that he should have perceived but did not,
12 while no cause for commendation, cannot be condemned as the
13 infliction of punishment under the Eighth Amendment. Likewise,
14 the fact that officials may have been negligent in failing to
15 secure Collins' restraint does not suffice, see *Hayes* at 620,
16 which points out that negligence is insufficient.

17 Plaintiff's complaint thus lacks allegations that any
18 prison official knew of and disregarded a substantial risk of
19 serious harm to plaintiff during his attack. To the contrary,
20 the facts plaintiff provides suggest a surprise attack, which
21 is insufficient to support a deliberate indifference claim.
22 See e.g. *Rivera v. Royce*, 2021 WL 2413396 at *8 (S.D.N.Y.
23 June 11, 2021) (collecting cases). I've concluded the
24 subjective prong is not met, so I need not address the
25 objective prong. *Marshall*, at 2. Accordingly, the

1 failure-to-protect claim based on defendant's conduct before
2 the assault is dismissed.

3 I now turn to failure-to-protect during the assault.

4 Plaintiff alleges that Yunes failed to intervene and
5 left him to fend for himself once the attack started. AC at 6.
6 He further alleges that Deacon, Minard, and Walsh were in sight
7 of the assault from beginning to end and failed to properly
8 intervene. AC at 6 and complaint at 6. "In the context of a
9 failure-to-intervene claim, an officer displays deliberate
10 indifference when he has adequate time to assess a serious
11 threat against an inmate and a fair opportunity to protect the
12 inmate without risk to himself, yet fails to intervene." *Henry*
13 *v. County of Nassau*, 2015 WL 2337393 at *7 (E.D.N.Y. May 13,
14 2015). The law in this circuit is clear that officers are
15 under no obligation to put their safety at risk by intervening
16 in inmate fights. *Velez v. City of New York*, 2019 WL 3495642
17 at *5, (S.D.N.Y. August 1, 2019). Where an inmate-on-inmate
18 fight is not long enough that an officer present at the scene
19 would have had a reasonable opportunity to attempt to prevent
20 the attack from continuing, a plaintiff can't establish a
21 section 1983 failure to intervene claim. *Henry* at 7.

22 First, plaintiff seems to allege that at the outset
23 of the attack Yunes was nearby but alone. Yunes' failure to
24 intervene before other officers were on hand does not reflect
25 deliberate indifference because "it is well established that a

1 failure to intervene in an inmate-on-inmate assault is not
2 actionable if, in so doing, an officer would have subjected
3 himself or others to harm. *Rosario v. Nolan*, 2021 WL 2383769
4 (W.D.N.Y. March 22, 2021) *report and recommendation adopted*,
5 2021 WL 2380818 (June 10, 2021). See *Blaylock v. Borden*, 547
6 F.Supp 2d. 305, 312 (S.D.N.Y. 2008), which said it was
7 reasonable for an officer who was alone with two inmates, one
8 of whom had a weapon, to call for backup instead of jumping
9 into the fight himself, *aff'd* 363 F.App'x 786, and *Velez-Shade*
10 *v. Population Management*, 2019 WL 4674768 at *6 (D. Conn.
11 September 25, 2019), which granted a motion to dismiss where a
12 lone officer waited two minutes until backup arrived to
13 intervene in an altercation involving three inmates.

14 Second, the video notes on which plaintiff relies
15 reflect that just over 30 seconds elapsed between the time at
16 which Collins began swinging the waist chain at plaintiff and
17 the time at which officers pulled Collins off of plaintiff.
18 Plaintiff's opposition at 3. This belies plaintiff's
19 suggestion that the prison officials named in his complaint
20 delayed in intervening or stood by as the attack was ongoing.
21 See *Ewers v. City of New York*, 2021, WL 2188128 at *7
22 (S.D.N.Y. May 28, 2021) finding no failure to protect when
23 officers arrived within seconds to break up a fight, and *Henry*
24 at 7, finding no failure to intervene where the fight lasted
25 one-to-two minutes.

1 Accordingly, plaintiff's failure-to-protect claim
2 based on defendants' conduct during the assault is dismissed.

3 I next turn to excessive force.

4 Plaintiff advances excessive force claims on Deacon
5 and DelBianco, alleging that in the wake of the attack in which
6 plaintiff was the victim, DelBianco pepper-sprayed him on
7 Deacon's orders, AC at 7, after plaintiff's attacker had
8 already been subdued. Plaintiff's sur-reply at 2.

9 An Eighth Amendment excessive force claim generally
10 requires a subjective and objective showing. "The subjective
11 component of the claim requires a showing that the defendant
12 had the necessary level of culpability shown by actions
13 characterized by wantonness in light of the particular
14 circumstances surrounding the challenged conduct. For
15 excessive force claims, as contrasted with other actions or
16 inactions that rise to the level of Eighth Amendment
17 violations, the test for wantonness is whether the force was
18 used in a good faith effort to maintain or restore discipline
19 or maliciously and sadistically to cause harm.

20 To determine whether defendants acted maliciously or
21 wantonly, the Court must examine several factors, including the
22 extent of the injury and the mental state of the defendant, as
23 well as the need for the application of force, the correlation
24 between that need and the amount of force used, the threat
25 reasonably perceived by the defendant and any efforts made by

1 the defendants to temper the severity of a forceful response.

2 Accordingly, determining whether officers used
3 excessive force necessarily turns on the need for the force
4 used. *Harris v. Miller*, 818 F.3d 49 at 63.

5 The objective inquiry looks to whether the conduct
6 was objectively harmful enough or sufficiently serious to reach
7 constitutional dimensions, an inquiry that is context specific
8 and turns on contemporary standards of decency. *Harris* at 64.
9 When prison officials maliciously and sadistically use force to
10 cause harm, contemporary standards of decency are always
11 violated. The objective element is satisfied in the excessive
12 force context even if the victim does not suffer serious or
13 significant injury, as long as the amount of force used is not
14 minimal. *Harris* at 64. But the extent of the injury suffered
15 is relevant to the Eighth Amendment inquiry insofar as it
16 indicates the amount of force applied. *Rodriguez v. City of*
17 *New York*, 802 F.Supp. 2d 477, 481 (S.D.N.Y. 2011).

18 The use of pepper spray constitutes a significant
19 degree of force. *Tracy* at 98. While "deployment of a chemical
20 agent is an accepted means of controlling an unruly or
21 disruptive inmate," *Jones v. Wagner*, 2020 WL 4272002 at *3 (D.
22 Conn. July 24, 2020), use of pepper spray is impermissible
23 where it is deployed "in greater quantities than necessary or
24 for the sole purpose of punishment or infliction of pain."
25 *Vazquez v. Spear*, 2014 WL 3887880 at *5 (S.D.N.Y. August 5,

1 2014); see *Jones* at 3 where the court said "A constitutional
2 violation can occur when a use of a chemical agent is
3 malicious.

4 Numerous courts in this circuit have held that the
5 use of pepper spray against an incarcerated individual who is
6 neither resisting nor posing a threat to correction officers is
7 a viable ground for an excessive-force claim. See *Johnson v.*
8 *Schiff*, 2019 WL 4688542 at *19 (S.D.N.Y. September 26, 2019),
9 which said "while the use of a single burst of a chemical agent
10 which is not a dangerous quantity is a constitutionally
11 acceptable means of controlling an unruly or disruptive inmate.
12 Here, plaintiff squarely alleges that he was fully cooperative,
13 that defendants were on the other side of the cell bars and
14 thus not plausibly in danger and that the pepper spray was
15 intentionally sprayed directly into plaintiff's eyes and face
16 an onto his hair causing him excruciating pain that lasted for
17 two weeks." See also *Pena v. Aldi*, 2019 WL 2193465 at *2 (D.
18 Conn. May 21, 2019) finding a plausible excessive-force claim
19 where the officers pepper sprayed an inmate in the face who had
20 just been involved in a fight but was handcuffed. *Solek v.*
21 *Naqvi*, (D. Conn. December 23, 2016), which denied a motion to
22 dismiss where the plaintiff alleged that the defendant sprayed
23 the chemical agent after the plaintiff was handcuffed and not
24 resisting. *Lang v. Zurek*, 2018 WL 6069468 at *5 (N.D.N.Y.
25 October 17, 2018), which denied the motion to dismiss even

1 though the complaint suggested plaintiff may have precipitated
2 the use of force by his refusal to return to his cell and his
3 subsequent attempt to run, but there was no indication as to
4 the length, amount, or proximity of the pepper spray
5 deployment, *report and recommendation adopted*, 2018 WL 6068416
6 (November 20, 2018); *Wunner v. Smith*, 2022 WL 161463 (S.D.N.Y.
7 January 18, 2022), where a pre-trial detainee stated a claim
8 where he was pepper-sprayed multiple times while pleading for
9 help and not actively resisting, and *Taylor v. Quayyum*, 2021 WL
10 6065743 at *5 (S.D.N.Y. December 21, 2021), finding a claim
11 stated where the pre-trial detainee plausibly alleged that the
12 officer pepper-sprayed the plaintiff merely because the
13 plaintiff had cursed at the officer not because there was a
14 security issue. And also see *Tracy* at 99 which found a
15 reasonable juror could find the use of pepper spray inches away
16 from the face of a defendant already in handcuffs and not
17 actively resisting could be an unreasonable use of force.

18 While the defendants here were responding to an
19 assault by an armed inmate, unquestionably giving them
20 reasonable concern for their own safety and that of other
21 inmates while the attack was ongoing, plaintiff alleges that
22 DelBianco came to him and applied pepper spray after that armed
23 inmate had been subdued, plaintiff's sur-reply at 2, and that
24 Deacon ordered the use of force against him. AC at 6 to 7.
25 This occurred in the wake of an apparently vicious attack in

1 which plaintiff asserts he was "always the victim," AC at 7,
2 from which I infer the plaintiff was not himself a risk to the
3 officers. Defendants point to the surveillance video notes
4 which indicate plaintiff was kicking at Mr. Collins as evidence
5 the plaintiff was fighting back and, thus, the use of pepper
6 spray on both inmates was reasonable to restore order. They
7 make that argument at ECF number 38 at page 6. But the video
8 notes only indicate that plaintiff was kicking his legs in
9 self-defense while Collins was attacking him. Plaintiff's
10 allegation that the use of pepper spray came later after
11 Collins was subdued. Sur-reply at 2. Thus, the disturbance on
12 which defendants rely had, according to plaintiff, already
13 subsided.

14 The video may show exactly when the pepper spray was
15 used and what plaintiff was doing, if anything, at the time and
16 it or other evidence may show at summary judgment or trial that
17 Deacon's order and DelBianco's conduct were reasonable given
18 the circumstances, but I must take plaintiff's allegations as
19 true at this stage. The allegations that Collins was already
20 subdued as DelBianco pepper-sprayed plaintiff on Deacon's
21 orders render it plausible that the pepper spray was deployed
22 and the order given to cause harm rather than in a good faith
23 effort to maintain or restore discipline.

24 Defendants argue they are nonetheless entitled to
25 qualified immunity. For qualified immunity to bar suit at the

1 motion to dismiss stage, not only must the facts supporting the
2 defense appear on the face of the complaint, but as with all
3 12(b)(6) motions, the plaintiff is entitled to all reasonable
4 inferences from the facts alleged, not only those that support
5 his claim but also those that defeat the immunity defense.
6 *Vann v. Fischer*, 2012 WL 2384428 at *10 (S.D.N.Y. June 21,
7 2012); see *Hyman v. Abrams*, 630 F.App'x 40, 42, which noted
8 that usually qualified immunity cannot support the grant of a
9 12(b)(6) motion. As I've just discussed, a gratuitous and
10 unjustified use of pepper spray against a plaintiff who is not
11 resisting is a constitutional violation. See *Tracy* at 98 at
12 n.5. At this stage, I cannot say it would have been
13 objectively reasonable for DelBianco to believe it was lawful
14 for him to approach and pepper-spray the victim of an assault
15 after the assaulter was already subdued, and the victim
16 presented no risk of harm, or for Deacon to order that action.
17 And I must credit these allegations at this stage, so it's
18 premature to determine that they're entitled to qualified
19 immunity.

20 So the motion to dismiss the excessive force claim
21 against Deacon and DelBianco is denied.

22 I then turn to deliberate indifference based on
23 medical care.

24 Plaintiff alleges this claim against Cebron for what
25 he asserts was inadequate medical care after the attack. AC at

1 8 to 9.

2 The Eighth Amendment imposes a duty on prison
3 officials to ensure that inmates receive adequate medical care.
4 See *Farmer*, 832. But not every lapse in medical care is a
5 constitutional wrong. Rather, a prison official violates the
6 Eighth Amendment only when two requirements are met, one
7 objective one subjective. *Salahuddin v. Goord*, 467 F.3d 263,
8 279.

9 First the prisoner must allege he was actually
10 deprived of adequate medical care as the official's duty is
11 only to provide reasonable care, *Salahuddin* at 279, and that
12 the alleged deprivation of medical treatment was sufficiently
13 serious. That is, the prisoner must prove that his medical
14 need was a condition of urgency, one that may produce death,
15 degeneration or extreme pain. *Johnson versus Wright*, 412 F.3d
16 398, 403; see *Williams v. Raimo*, 2011 WL 6026115 at *3
17 (N.D.N.Y. July 22, 2011) noting there's no litmus test for
18 deciding whether a condition is sufficiently serious, but a
19 court can look at, among other things, whether the impairment
20 is one that a reasonable doctor would find important and worthy
21 to treat, whether the condition affects the individual's daily
22 life, and whether it causes chronic and substantial pain.

23 Where a plaintiff's medical indifference allegations
24 amount to a delay in treatment, it's appropriate to focus on a
25 challenged delay rather than the underlying condition alone in

1 analyzing whether the alleged deprivation is objectively
2 serious enough to support an Eighth Amendment claim. *Ray v.*
3 *Zamilus* 2017 WL 4329722 at *9 (S.D.N.Y. September 27, 2017).
4 On the one hand, a defendant's delay in treating an ordinarily
5 insignificant medical condition can become a constitutional
6 violation if the condition worsens and creates a substantial
7 risk of harm, but on the other hand, delay in treating a
8 life-threatening condition may not violate the Eighth Amendment
9 if the lapse does not cause any further harm beyond that which
10 would occur even with complete medical attention. *Ray* at 9;
11 see *Pabon v. Wright*, WL 628784 at *8. (S.D.N.Y. March 29,
12 2004), "A delay in treatment does not violate the Constitution
13 unless it involves an act or failure to act that evinces a
14 conscious disregard of a substantial risk of serious harm...The
15 Second Circuit has reserved such a classification for cases in
16 which, for example, officials deliberately delayed medical care
17 as a form of punishment, ignored a life-threatening and
18 fast-degenerating condition for three days or delayed major
19 surgery for over two years."

20 Second, the inmate must allege that the charged
21 official knew of and disregarded an excessive risk to inmate
22 health or safety. As noted earlier, the officer must have both
23 been aware of the facts from which the inference could be drawn
24 that there was a substantial risk of serious harm and must have
25 drawn the inference. *Johnson* 412 F.3d at 403, quoting *Farmer*

1 at 837; see *Phelps v. Kapnolas*, 308 F.3d 108, 186 (*per curiam*),
2 which equated deliberate indifference with criminal
3 recklessness. Neither mere disagreement over the proper
4 treatment or medical malpractice are constitutional violations
5 merely because the victim is a prisoner. *Estelle v. Gamble*,
6 429 U.S. 97, 106, and *Chance v Armstrong*, 143 F.3d 698, 703.
7 Rather, to state an Eighth Amendment deliberate indifference
8 claim, an inmate must show that the defendants acted or failed
9 to act while actually aware of the substantial risk that
10 serious harm would result. *Farid v. Ellen*, 593 F.3d 233, 248.
11 Prison officials may be found free from liability if they
12 responded reasonably to the risk even if the harm was not
13 averted. *Farmer* at 844.

14 Plaintiff's allegations against Cebon failed to
15 satisfy the standards. First, while plaintiff alleges that his
16 initial treatment was delayed by about an hour, he does not
17 allege that this delay caused his condition to worsen in any
18 significant way nor does he allege that it was Cebon who
19 caused this delay in treatment. Second, he alleges that he has
20 an unseen, untreated head wound that caused him to be returned
21 to the outside hospital but he also does not describe any
22 serious harm caused by delay in treatment of that wound.

23 He also failed to allege any facts from which I could
24 infer that Cebon acted with sufficiently culpable intent. His
25 head wound was unseen and untreated until the second time he

1 went to the hospital. That multiple medical professionals, not
2 just Cebron, but everyone who saw him during his first trip to
3 the hospital apparently examined the plaintiff and failed to
4 notice or address this wound indicates that it was easy to miss
5 and Cebron's failure to treat it when she first examined was,
6 at most, negligent, it does not rise to the level of subjective
7 indifference necessary for an Eighth Amendment claim. See
8 *Hogan v. Russ* 890 F. Supp. 146, 149 (N.D.N.Y. 1995). So the
9 deliberate indifference claim against Cebron is dismissed.

10 I now turn to a claim regarding falsifying the
11 report.

12 Defendants don't address this, but plaintiff alleges
13 that Deacon, Yunes, DelBianco, Minard, Walsh and Cebron all
14 falsified reports related to the June 14 attack, AC at 6-8.
15 "Generally, a prison inmate has no constitutionally guaranteed
16 immunity from being falsely or wrongly accused of conduct which
17 may result in a deprivation of a protected liberty interest.
18 There are two exceptions to this general rule. An inmate has a
19 claim where he can show either discipline without adequate due
20 process because of a false report or that the report was in
21 retaliation for exercising a constitutionally protected right."
22 *Perez v. Does*, 209 F.Supp. 3d 594, 598 (W.D.N.Y. 2016) quoting
23 *Willey v. Kirkpatrick*, 801 F.3d 51, 63. Plaintiff has not
24 alleged that he was disciplined as a result of any of the
25 allegedly falsified reports filed in connection with the

1 attack, nor has he alleged that the reports were falsified in
2 response to his exercise of a constitutionally protected right.
3 His allegation is that defendants deliberately falsified their
4 reports to cover up their negligence or use of excessive force.
5 But even if true, this conduct does not rise to the level of a
6 constitutional violation. See *Milner v. City of Bristol*, 2019
7 WL 3945525 at *3 (D. Conn. August 21, 2019), which dismissed
8 where the complaint did not allege additional facts to show
9 that any deprivation of liberty or adverse consequences
10 followed from the issuance of false police reports; and
11 *Heyliger v. Gebler*, at *2 and n.1 (W.D.N.Y. July 30, 2010),
12 which notes the Court dismissed claims alleging false reports
13 as part of a coverup whether disciplinary or not. Odd as it
14 may seem, there is no general constitutional right to be free
15 from the cover-up of a past constitutional violation," and
16 "general allegations that a party conspired to cover up a past
17 constitutional violation are insufficient to establish
18 liability under section 1983." *Paterson v Paterson*, 2019
19 WL 1284346 at *10 (W.D.N.Y. March 20, 2019).

20 I now turn to the conditions of confinement claim.

21 Plaintiff alleges that the conditions of his
22 confinement at the time the assault occurred, and specifically
23 the amount and type of exercise he was permitted, violated his
24 Eighth Amendment rights. "To state an Eighth Amendment claim
25 against a prison official based on conditions of confinement,

1 an inmate must allege, one, objectively, the deprivation the
2 inmate suffered was sufficiently serious that he was denied the
3 minimal civilized measure of life's necessities, and, two,
4 subjectively, the defendant official acted with a sufficiently
5 culpable state of mind such as deliberate indifference of
6 inmate health and safety. *McCray v. Lee*, 963 F.3d 110, 117;
7 see *Farmer* at 834.

8 Read liberally, plaintiff's complaint alleges that
9 the conditions of his SHU confinement were unsafe. When a
10 prisoner asserts a claim predicated on unsafe conditions, the
11 court must determine whether society considers the risk of
12 which the plaintiff complains to be so grave that it violates
13 contemporary standards of decency to expose anyone to that risk
14 unwillingly. *McCray* at 120, quoting *Helling v. McKinney*, 509
15 U.S. 25, 36. In other words, the prisoner must show the risk
16 of which he complains is not one that today's society chooses
17 to tolerate. *McCray* at 120. The objective element depends on
18 both the seriousness of the potential harm and the likelihood
19 that such injury to health will actually be caused. *Helling* at
20 36.

21 Plaintiff alleges generally that he spent 37 days
22 under restrictions that caused him to be mechanically
23 restrained in an overcrowded yard in the hot sun with one water
24 break and one bathroom break in an hour 15 minute recreation
25 period. AC at 6. While the conditions described by plaintiff

1 may be unpleasant, these allegations do not plausibly show that
2 the circumstances which, aside from the mechanical restraints,
3 are not dissimilar to those in which many people work or play,
4 were so dangerous or the deprivation so severe, that it
5 violates the Eight Amendment.

6 I next turn to deprivation of exercise.

7 Plaintiff alleges that for 37 days he was denied the
8 opportunity to partake in an hour of unrestrained recreation.
9 AC at 6.

10 The Second Circuit has held that some opportunity for
11 exercise must be afforded to prisoners. *McCray* at 117
12 collecting cases. The deprivation of exercise amounts to a
13 constitutional violation only where the inmate is denied "all
14 meaningful exercise" for a substantial period of time.
15 *Davidson v. Coughlin*, 968 F.3d 121, 129 (S.D.N.Y. 1997). In
16 determining whether an exercise deprivation rises to this
17 level, the court may consider the duration of the deprivation;
18 the extent of it; the availability of other out-of-cell
19 activities; the opportunity for in-cell exercise; and the
20 justification for deprivation. *Williams v. Goord*, 111 F.Supp.
21 2d 280, 292 (S.D.N.Y. 2000).

22 At this stage, 37 days appears to be in the range
23 that could be of sufficient duration to give rise to a
24 deprivation of exercise claim. See *Williams* at 292, 293, which
25 found 28 days without unrestrained exercise sufficient for an

1 Eighth Amendment claim, and *McCray v. Lee*, citing cases where
2 other circuits held seven weeks and six-and-a-half weeks were
3 long enough. And *Ruggiero v. Prack* (W.D.N.Y. 2016), which
4 found 22 days to be in the category of relatively brief and not
5 violating the Eighth Amendment. So 37 days seems like it's in
6 the ballpark plausibly at this stage.

7 With regard to the extent of the deprivation, I infer
8 from plaintiff's allegations that his only out-of-cell exercise
9 time was an hour and 15 minutes in mechanical restraints in the
10 keep-lock yard. AC at 6. A requirement that an inmate
11 exercise only in full restraints may be found to infringe an
12 inmate's right to some meaningful opportunity for exercise.
13 *Edwards v. Arnone*, 613 F. App'x 44, 47. Second Circuit has
14 declined to determine as a matter of law that an inmate's
15 "ability to shuffle around in full restraints while breathing
16 fresh air constitutes meaningful exercise." *Edwards v. Quiros*,
17 986 F.3d 187, 194-95. Similarly, the availability of in-cell
18 exercise does not establish a meaningful opportunity to
19 exercise as a matter of law. *Edwards* 194-95.

20 Thus, the facts plaintiff alleges here are sufficient
21 to push his claim over the line from conceivable to plausible,
22 even absent details from which I can analyze the final three
23 *Williams* factors. Construing plaintiff's allegations to raise
24 the strongest argument they suggest, and drawing reasonable
25 inferences in his favor, I find he has plausibly alleged a

1 deprivation of exercise claim under the Eighth Amendment at
2 least at this stage.

3 Defendants argue that Urbanski cannot be held liable
4 for any constitutional violation because plaintiff hasn't
5 alleged his personal involvement. It is well settled in this
6 Circuit that personal involvement of defendants in alleged
7 constitutional deprivations is a prerequisite to an award of
8 damages under section 1983. *Colon v. Coughlin*, 58 F.3d 865,
9 873. While *Colon* laid out a special test for supervisory
10 liability, outlining five ways a plaintiff could show personal
11 involvement of a supervisor, the Second Circuit has clarified
12 that under *Iqbal* the *Colon* test is invalid and a plaintiff has
13 to plead and prove that each defendant, through the official's
14 own individual actions, has violated the Constitution.
15 *Tangreti v. Bachmann*, 983 F.3d 609, 618. Merely being in the
16 chain of command is insufficient. *Tangreti* at 618. While the
17 factors necessary to establish the 1983 violation will vary
18 with the constitutional provision at issue because the elements
19 of different claims vary, the violation must be established
20 against the supervisory official directly. *Tangreti* at 618.

21 Defendants address their personal involvement
22 argument for Urbanski to plaintiff's broader complaints about
23 the safety issues raised by his confinement but they do not
24 specifically address his deprivation of exercise claim. See
25 ECF number 36, 18 to 19. Urbanski is alleged to be the deputy

1 superintendent of security for Fiskill, AC at 4, and plaintiff
2 alleges that he himself "issued a restraint order that deprived
3 plaintiff of recreation," complaint at 6, and "deprived
4 plaintiff of the right to exercise." AC at 7. Plaintiff's
5 pleadings are somewhat confusing because he states first that
6 Urbanski issued a restraint order, complaint at 6, and then the
7 amended complaint at 6 that Urbanski deprived him of the right
8 to exercise without issuing any order as to why and how it
9 became so that, as a SHU inmate, plaintiff was not entitled to
10 an hour of unrestrained rec. I read these allegations together
11 to mean that Urbanski ordered the restriction but failed to
12 provide further explanation of the reasons behind it.
13 Accepting those assertions as true at this stage, plaintiff has
14 successfully alleged Urbanski's personal involvement in the
15 deprivation of exercise.

16 Defendants also contend that Urbanski is entitled to
17 qualified immunity arguing that because he was not personally
18 involved he would not have known he was violating the Eighth
19 Amendment. That's in their brief at 21 to 22. But plaintiff,
20 giving him special solicitude, has pleaded personal
21 involvement. Moreover, the law in the area would have been
22 clear to a reasonable official. It is well established that
23 the Eighth Amendment mandates that inmates be provided some
24 opportunity for exercise, see *McCray* at 120, noting the right
25 of prisoners for a meaningful opportunity for exercise has been

1 clearly established for three decades before that case which
2 was before this one, and *Paul v. LaValley*, 712 F.App'x 78, 79,
3 finding the right to exercise well established by 1985. It's
4 likewise well-established that denying an inmate the
5 opportunity to exercise without restraints can violate this
6 principle. *Edwards v Arnone*, 613 F.App'x 47, which says that
7 under clearly established law a reasonable juror could conclude
8 that reasonable officers would agree that fully restraining
9 inmates during out-of-cell exercise without an adequate safety
10 justification is unconstitutional. There may be an adequate
11 safety justification here, but I can't tell from the complaint
12 that there is, and so the motion to dismiss the deprivation of
13 exercise claim against Urbanski is denied.

14 Turning to Annucci.

15 To the extent plaintiff alleges that the claims that
16 are dismissed above should be brought against Annucci in his
17 supervisory capacity, those claims are dismissed because
18 there's no supervisory liability in the absence of an
19 underlying constitutional violation. See *Raspardo v. Carlone*,
20 770 F.3d 97, 129.

21 With respect to the surviving claims, plaintiff has
22 not pleaded facts sufficient to establish that Anucci
23 personally through his own actions was involved in any of the
24 deprivations. There are no facts from which I can infer his
25 involvement or culpability. See *Tangreti* at 618. So all

1 claims against Annucci are dismissed.

2 To the extent plaintiff advances state law claims,
3 including negligence, those must be dismissed under New York
4 Correction Law 24 which provides you can't sue a DOCCS employee
5 in his personal capacity for damages arising out of acts or
6 failures to act within the scope of his employment. That's
7 New York Corrections Law section 24(1). That section applies
8 to claims brought in this court. *Baker v. Coughlin*, 77 F.3d
9 12, 15, and therefore, plaintiff's precluded from raising state
10 law claims in federal court against state law employees in
11 their personal capacities for actions within the scope of their
12 employment. *Murphy v. Spalding*, 12022 WL 294552 at *10
13 (S.D.N.Y. February 1, 2022); see *Davis v. McCready*, 283 F.Supp.
14 3d 108, 123-24 (S.D.N.Y. 2017). Defendants here were all
15 clearly acting within the scope of their employment, so any
16 state tort claims against them are dismissed.

17 Finally, as to leave to amend, it should be freely
18 given when justice so requires under Rule 15, but it's within
19 my discretion to grant or deny. *Kim v. Kimm*, 884 F.3d 98.
20 Leave is properly denied for repeated failure to cure
21 deficiencies by amendments previously allowed or for futility,
22 among other reasons. *Ruotolo v. City of New York*, 514 F.3d
23 184, 191.

24 Plaintiff has already amended once after having the
25 benefit of a pre-motion letter from defendants stating the

1 grounds on which they would move to dismiss. That's ECF number
2 24, as well as my observations during the pre-motion
3 conference. Failure to fix deficiencies in the previous
4 pleading after being provided notice of them is alone
5 sufficient ground to deny leave to amend. *National Credit Unit*
6 *v. US Bank*, 898 F.3d 243, 247-58; *in Re Eaton Vance* 380 F.Supp.
7 2d 220, 242 (S.D.N.Y. 2005), *aff'd* 481 F.3d 110, 118.

8 Further, plaintiff has not asked to amend again or
9 otherwise suggested he's in possession of facts that would cure
10 the deficiencies identified in this opinion. And accordingly,
11 I decline to grant leave to amend on my own motion. See
12 *TechnoMarine v. Giftports*, 758, F.3d 493, 505; *Gallop v.*
13 *Cheney*, 642 F.3d 364, 369; and *Horoshko v. Citibank*, 373 F.3d
14 248, 249-50.

15 So for these reasons the motion is granted in part
16 and denied in part. The case will go forward against DelBianco
17 and Deacon based on excessive force arising from the use of
18 pepper spray and will go forward against Urbanski based on the
19 deprivation of exercise claim.

20 All other claims are dismissed.

21 The clerk of Court should terminate motion number 35
22 and terminate all defendants except Deacon, DelBianco and
23 Urbanski.

24 So now what happens, Mr. Brown, is discovery. That
25 is an opportunity for each side to collect information that

1 they're going to want later on. What often happens in cases
2 like this is that after discovery the defendants make what's
3 called a motion for summary judgment, which means they ask me
4 to toss the case out without a trial. Usually their argument
5 is that even accepting the facts as the plaintiff described
6 them, they're entitled to win the case. At that point, each
7 side has to provide evidence, not just allegations like you can
8 do in a complaint. So during the discovery phase of the case,
9 each side collects whatever evidence it wants, and you can do
10 that a number of ways. You can request documents from the
11 other side. You can, if you're able to from where you are,
12 take depositions. You're allowed to propound interrogatories,
13 which is just -- which are just questions that the other side
14 has to answer. You can ask witnesses to give you affidavits
15 and, of course, the defendants can do the same thing. They'll
16 take your deposition, I'm sure, and you can ask them for a copy
17 of the video or other things that you want from them.

18 And then if they make a motion, at that point, you
19 have to provide evidence. The evidence can be your own
20 affidavit, it just has to be sworn or affidavits from other
21 witnesses and the first thing you have to do is make what are
22 called Rule 26 disclosures. Rule 26 is something you should
23 look at. It contains a list of information that each side has
24 to give the other right off the bat in a case. Things like
25 what witnesses do you think you're going to rely on? What

1 sorts of documents do you think you're going to rely on? Each
2 side has to provide that to the other so that the other can
3 decide what further documents to request, what witnesses to
4 depose and that kind of thing.

5 So the Rule 26 disclosures will be due in two weeks
6 time. So you should send that -- that doesn't come to the
7 court, that goes directly to the Attorney General. And you've
8 got to make sure you include everything you might want to use
9 at trial because, if you put in your Rule 26 disclosures the
10 names of three witnesses, let's say, and then at trial you want
11 to call someone else, you're going to be precluded because you
12 never notified the defense that you want to call that person.

13 So even after you make your Rule 26 disclosures, if
14 you decide that there are additional documents or witnesses,
15 you have to keep supplementing your Rule 26 disclosures,
16 otherwise the defense can come in and say, hey, we didn't have
17 a chance to talk that person's deposition, you shouldn't let
18 that person testify. You'll want to look at Rule 26 and make
19 sure you include in your Rule 26 disclosures everything that
20 you know of now that you might want to use, and you want to
21 make sure you supplement those disclosures.

22 I'm going to enter a scheduling order, and that will
23 be sent to you so you'll have that in writing, and it's going
24 to include dates by which each phase of discovery has to be
25 completed. The whole thing is going to be completed in six

1 months, which is September 15th. So I'm going to have
2 deposition cutoff of August 15th and all discovery
3 September 15. And I'm assuming no expert discovery.

4 Let me ask Mr. Clark to find a conference date in the
5 second part of September.

6 And while he's doing that, let please alert the
7 parties to a pet peeve of mine, and that is requests for
8 discovery extensions that come on the eve of the cutoff, or
9 worse yet, after the cutoff. I understand sometimes there's a
10 good reason why you need an extension; your client's in a coma,
11 all your documents got burned up in a fire. Whatever it is.
12 If you have a good reason and you bring it to my attention when
13 you know about it, I will be reasonable and you will get your
14 extension. But if I get a letter a week before the discovery
15 cutoff saying, you know, we haven't been able to arrange
16 depositions, that's going to tell me you haven't been paying
17 attention to the case, and in that event, I've been known to
18 say no.

19 You'll see that my scheduling order contains a
20 procedure you should follow if the other side is not playing
21 ball discovery wise. Same rule applies if it's a third party
22 is not playing ball discovery wise. It requires you to bring
23 any disputes to my attention on a fairly tight timeline, and
24 that's because I want to get you involved and keep you on
25 track.

1 So if you come in at the next conference and say,
2 well, we couldn't proceed because we have a discovery issue,
3 I'm going to say, well, you should have brought it to my
4 attention sooner than this, you're out of luck.

5 I mention this not because I'm expecting any
6 problems. I say it whenever I enter a scheduling order just so
7 the parties know I have a little bit of a thing about this
8 issue and they know that they need to stay on top of the case.
9 And they shouldn't expect an extension for the asking.

10 So let me see what Mr. Clark found for a conference
11 date.

12 THE DEPUTY CLERK: Yes, Judge. September 29, 2022,
13 at 11:30 a.m.

14 THE COURT: September 29 at 11:30 a.m.

15 If anybody is contemplating a motion at that time,
16 let me have the pre-motion letter on September 13 and the
17 response on the September 27 and we'll talk about it on --
18 actually, you know what, let me build in more time because the
19 defendant is upstate. So September 8th for any pre-motion
20 letter, response September 22, and we'll talk about it
21 September the 29th.

22 The scheduling order will go to Ms. Hartofilis
23 electronically later today and it will go by mail to Mr. Brown.

24 Anything else we should talk about this morning?

25 MR. BROWN: Yes, I see that you didn't mentioned page

1 30 in my brief.

2 THE COURT: I'm sorry?

3 MR. BROWN: You never mentioned page 30.

4 THE COURT: What about it?

5 MR. BROWN: I had underlined some stuff in there.

6 THE COURT: What is it that you think I overlooked?

7 MR. BROWN: It just said a statement that I thought
8 was overlooked.

9 THE COURT: Let me pull up page 30 and see what
10 you're talking about.

11 MR. BROWN: All right.

12 THE COURT: I mean, I read all your papers. Page 30
13 of your brief. Give me a second.

14 So the first thing you submitted was only five pages,
15 so that's not it. Your opposition was ECF number 37. Your
16 sur-reply was 39. Let me look at that. If that's what you're
17 talking about -- no, that was only four pages.

18 Are you talking about your amended complaint?

19 MR. BROWN: Yes.

20 THE COURT: Oh, all right. Let me pull that up.

21 First amended complaint, page 30. That's a copy of
22 your grievance. Yes, this relates to the deprivation of
23 exercise claim which I ruled in your favor.

24 MR. BROWN: I understand that, but I was just
25 bringing that to your attention because to my recollection

1 you're taking deliberate indifference under the Eighth
2 Amendment means the prison official must know or disregard the
3 excessiveness to an inmate. And I underline, I say, it is
4 noted restraints are applied per DOCCS' policy, and every
5 precaution is taken to make sure restraints are not
6 compromised. That's foreseeable.

7 THE COURT: Well, and they screwed up this time, but
8 there was no evidence it was deliberate as opposed to
9 negligence.

10 MR. BROWN: Okay.

11 THE COURT: All right.

12 MR. BROWN: I've got another question.

13 THE COURT: Yes.

14 MR. BROWN: Does this bar me from filing the state
15 claim?

16 THE COURT: Well, you've got to look at Corrections
17 Law 24 which says you can't sue corrections officers for
18 negligence or torts within the scope of their duty. You might
19 be able to sue the State of New York, but you can't sue the
20 corrections officers individually.

21 MR. BROWN: Okay.

22 THE COURT: I'm not saying you're able to sue the
23 State of New York. If you were, it would be the Court of
24 Claims. I just don't know offhand if that's true. But the
25 individual officers are protected.

1 MR. BROWN: Okay.

2 THE COURT: All right. So discovery will commence.
3 If you have no problems, I will talk to you again in the fall.

4 Everybody stay well.

5 MS. HARTOFILIS: Your Honor, can I have the name of
6 the court reporter, I missed that at the beginning.

7 THE COURT: Yes, it's Angela O'Donnell and you can
8 put in the request via the website.

9 MS. HARTOFILIS: Perfect.

10 MR. BROWN: Excuse me, Seibel.

11 THE COURT: Yes.

12 MR. BROWN: Yes. I got another thing. I will be
13 home in June.

14 THE COURT: All right, well, when you get home, make
15 sure you send a letter with your address and your phone number
16 and email and all of that so we don't lose track of where you
17 are.

18 MR. BROWN: All right.

19 THE COURT: Make sure you let Ms. Hartofilis know
20 also. She may want to wait until you're released to take your
21 deposition.

22 MR. BROWN: Okay. Have a blessed day.

23 THE COURT: You too. Bye, bye.

24 MR. BROWN: Bye.

25 MS. HARTOFILIS: Bye. Thank you, your Honor.

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(Proceedings concluded)

CERTIFICATE: I hereby certify that the foregoing is a true and accurate transcript, to the best of my skill and ability, from my stenographic notes of this proceeding.

Angela A. O'Donnell, RPR, Official Court Reporter, USDC, SDNY